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Date of Deposit October 6, 2003

Our Case No.: 11509/20

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Donn M. Dennis, et al.

Serial No.: Unassigned

Filing Date:

For: NOVEL MICROEMULSION AND
MICELLE SYSTEMS FOR
SOLUBILIZING DRUGS

Examiner: Unknown

Group Art Unit No.:

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents
Alexandria, VA 22313-1450

Dear Sir:

In compliance with the duty of disclosure under 37 C.F.R. § 1.56, it is respectfully requested that this Information Disclosure Statement be entered and the documents listed below and on the attached Form PTO-1449 be considered by the Examiner and made of record. This application is a continuation application of U.S. Serial No. 09/924,290, filed August 8, 2001, which is a continuation-in-part of U.S. Patent Application Serial Number 09/630,237, filed August 1, 2000. These prior applications are relied upon for an earlier filing dated under 35 U.S.C. § 120. The references listed below and on PTO Form 1449 were cited submitted to, or cited by, the Office in connection with prosecution of U.S. Application Serial Nos. 09/924,290 and 09/630,237, and therefore, pursuant to 37 C.F.R. § 1.98(d), copies of the listed documents are not enclosed.

The references now cited are the following:

U.S. Patent Documents:

5,478,860	J. Wheeler
5,560,931	W. Eickhoff
5,637,625	D. Haynes
5,726,164	H. Weder
5,858,410	Muller, et al.
5,908,619	M. Scholz
5,908,825	Fasano, et al.
5,908,869	C. Jones
5,916,596	N. Desai
5,952,004 A	Rudnic, et al.
6,197,323 B1	Georgieff
5,925,684	Schweikert
5,750,142	Friedman
6,451,339 B1	Patel, et al.

Foreign Patent Documents:

PCT/IB 96/00868

PCT/US 93/09916

In accordance with 37 C.F.R. § 1.97(g),(h), this Information Disclosure Statement is not to be construed as a representation that a search has been made and is not to be construed to be an admission that the information cited is, or is considered to be, material to patentability as defined in 37 C.F.R. § 1.56(b).


This Information Disclosure Statement is being filed prior to the receipt of the first Official Action reflecting an examination on the merits and hence is believed to be timely filed in accordance with 37 C.F.R. § 1.97(b). No fees are believed to be due in connection with filing of this Information Disclosure Statement, however, should any

fees under 37 C.F.R. §§ 1.16 to 1.21 be deemed necessary for any reason relating to these material, the Commissioner is hereby authorized to deduct said fees from Brinks Hofer Gilson & Lione Deposit Account No. 23-1925. A duplicate copy of this document is enclosed.

Applicants respectfully request that the listed documents be made of record in the present case.

Respectfully submitted,

October 6th, 2003 -



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FORM PTO-1449	SERIAL NO. Unassigned	CASE NO. 11509/20
LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT	FILING DATE	GROUP ART UNIT
(use several sheets if necessary)	APPLICANT(S): Donn M. Dennis, et al.	

REFERENCE DESIGNATION U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER Number-Kind Code (if known)	DATE	NAME	CLASS/ SUBCLASS	FILING DATE
	A1	5,478,860	12/1995	J. Wheeler		
	A2	5,560,931	10/1996	W. Eickhoff		
	A3	5,637,625	06/1997	D. Haynes		
	A4	5,726,164	03/1998	H. Weder		
	A5	5,858,410	01/1999	Muller, et al.		
	A6	5,908,619	06/1999	M. Scholz		
	A7	5,908,825	06/1999	Fasano, et al.		
	A8	5,908,869	06/1999	C. Jones		
	A9	5,916,596	06/1999	N. Desai		
	A10	5,952,004 A	09/1999	Rudnic, et al.		
	A11	6,197,323 B1	03/2001	Georgieff		
	A12	5,925,684		Schweikert		
	A13	5,750,142		Friedman		
	A14	6,451,339 B1	09/2002	Patel, et al.		

FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER Number-Kind Code (if known)	DATE	COUNTRY	CLASS/ SUBCLASS	TRANSLATION YES OR NO
	A15	PCT/IB 96/00868		WO		
	A16	PCT/US 93/09916		WO		

EXAMINER INITIAL	OTHER ART – NON PATENT LITERATURE DOCUMENTS (Include name of author, title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date page(s), volume-issue number(s), publisher, city and/or country where published.	

EXAMINER	DATE CONSIDERED
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EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.